Form AB-200

## Alcohol Beverage License Application

For Municipal Use Only
Municipality
icense Period

License(s) Requested: (up to two boxes may	be checked)	Fees			
Class "A" Beer \$	☐ Class "B" Beer \$	License	Fees	\$	
Class A" Liquor \$	☐ "Class B" Liquor \$	Backgro	ound Check Fee	\$	
"Class A" Liquor (cider only) \$	Reserve "Class B" Liquor \$	Publicat	ion Fee	\$	
Class C" Liquor (wine only) \$		Total Fe	ees	\$	
Part A: Premises/Business Information					
Legal Business Name (individual name if sole pro	prietorship)				
2. Business Trade Name or DBA					
3. FEIN	Seller's Permit Numb	per			
5. Entity Type (check one)				fit O	
Sole Proprietor Partnership  6. State of Organization	Limited Liability Company  7. Date of Organization	Corporation	n	ofit Organization	
U. State of Organization	7. Date of Organization	o. Wisco	nsin Dri Negistiati	on Number	
9. Premises Address					
10. City	11. State	11. State 12. Zip Code			
13. County	14. Governing Municipality: City of:	Town Villa	ge 15. Aldermani	c District	
16. Premises Phone	17. Premises Email	18. \	Vebsite		
<ol> <li>Premises Description - Describe the building or are kept. Describe all rooms within the building, only on the premises described in this applicatio</li> </ol>	including living quarters. Authorized a	lcohol beverage activ	ities and storage o		
20. Mailing Address (if different from premises addre	ess)				
21. City		22. State	23. Zip Code		
Part B: Questions		,			
Has the business (sole proprietorship, partniviolating federal or state laws or local ordinal				Yes No	
If yes, list the details of violation below. Attack	ch additional sheets if necessary.				
Law/Ordinance Violated	Location		Trial Date		
Penalty Imposed	1	Was sentence co	mpleted?	Yes No	
Law/Ordinance Violated	Location	I	Trial Date		
Penalty Imposed	l	Was sentence completed? Yes No			

2. Are charges for any offenses pending against the business? Exclude traffic offenses unless related to alcohol   Yes  No beverages.							Yes No
If yes, describe the nature and sta	atus of pending ch	arges using	the space be	elow. Attach	additional sheets	as needed.	
Is the applicant business or any condition individuals or entities a restricted lf yes, provide the name of the restricted.	investor with any	/ interest in a	an alcohol be	everage pro	ducer or distribute		Yes No
<ol><li>Is the applicant business owned business owned business, provide the name(s) and FI</li></ol>							Yes No
4a. Name of Business Entity			4b. Business	s Entity FEIN			
5. Have the partners, agent, or sole this license period? Submit proof							Yes No
6. Is the applicant business indebted	•	•	•	,	•		Yes No
7. Does the applicant business owe		al property ta	axes, assess	ments, or ot	her fees?	····· 🗀 `	Yes  No
Part C: Individual Information							
List the name, title, and phone number for Question 4: sole proprietor, all officers, di managers, and agent of a limited liability	irectors, and agent of	of a corporatio	n or nonprofit	organization,			
Include Form AB-100 for each person list		ions and LLCs	s must appoint		including Form AB-1	1	
Last Name	First Name			Title		Phone	
Part D: Attestation	1		"			'	
One of the following must sign and a	attest to this applic	cation:					
• sole proprietor • one ge	eneral partner of a	a partnership	• one	e corporate o	officer • one	member of	an LLC
READ CAREFULLY BEFORE SIGNING I am acting solely on behalf of the applic rights and responsibilities conferred by ti according to the law, including but not lii to any portion of a licensed premises du revocation of this license. I understand ti understand that I may be prosecuted for ingly provides materially false informatio	cant business and n he license(s), if gra mited to, purchasin ring inspection will that any license iss submitting false sta	ot on behalf onted, will not be alcohol beveloe deemed a ued contrary to tements and a	f any other indoe assigned to be assigned to erages from st refusal to allov to Wis. Stat. C affidavits in co	dividual or endonanther indivitate authorized in inspection. Chapter 125 sonection with	tity seeking the licer vidual or entity. I ag d wholesalers. I un Such refusal is a m hall be void under p this application, and	nse. Further, gree to operat derstand that isdemeanor a penalty of stad that any per	I agree that the re this business clack of access and grounds for te law. I further
Last Name			Name		, , ,		M.I.
Title		Email				Phone	
Signature				Date			
Part E: For Clerk Use Only							
Date Application Was Filed With Clerk	License Number			Date Lie	cense Granted	Date Licens	se Issued
Signature of Clerk/Deputy Clerk				ļ	Date Provisional L	icense Issued	d (if applicable)

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#### Form AB-200 Instructions

Alcohol Beverage License Application

#### Who needs an alcohol beverage license?

Any individual or entity that wants to sell alcohol beverages to consumers or allow consumption in a public place must get a retail alcohol beverage license.

#### Who issues alcohol beverage licenses?

Cities, villages, and towns issue alcohol beverage licenses after the governing body (city council, town or village board) grants the license.

#### **Specific Instructions**

#### License Period:

Annual licenses expire June 30 each year, except licenses issued by the City of Milwaukee. Annual licenses issued by
the City of Milwaukee also may be issued at any time throughout the year, but are valid for one year from the date of
issuance.

#### License Requested and License Fees:

- Select the alcohol beverage license(s) you would like to apply for.
- Generally, you may apply for no more than two licenses for the same premises. Further, some license combinations are not acceptable, (e.g., "Class A" and a Class "B").
- For descriptions of each of the alcohol beverage licenses and their authorizations, see <u>Publication 302</u>, <u>Information for Wisconsin Alcohol Beverage and Tobacco Retailers</u>, and <u>Fact Sheet 3101</u>, <u>Licenses for Retail Sale of Alcohol Beverages</u>.
- License costs are determined by the municipality within a range set by state law. Ask your clerk how much the license, background check, and publishing fees in that municipality cost.
- License fees for licenses issued for less than one year must be prorated according to the number of months or fraction of months remaining in the licensing period.

#### Part A: Premises/Business Information

- Box 1: Enter the legal business name or individual name if a sole proprietor.
- Box 2: Enter the trade name or "doing business as" name, if different than the name in box 1.
- Box 4: Seller's permits begin with the digits "456." For questions about obtaining a seller's permit, see Seller's Permit Common Questions.
- Box 5: Check one entity type to indicate how the business is legally organized.
- Box 6-7: Provide the state and date of organization of the legal entity.
- Box 8: Provide the Wisconsin Department of Financial Institutions Registration number. This number is assigned to the
  entity when it is registered with DFI. It can be located using the Department of Financial Institution's
  Corporate Records Search.
- Boxes 9-19: All requests for "premises" information are requests for the physical location within the municipality and contact information to reach the business during open hours.
- Box 19: Describe the premises in detail. Include outdoor spaces if your municipality allows it. Some municipalities have specific requirements for outdoor spaces as a part of the licensed premises. Call your municipal clerk to learn more. Attach a floor plan if possible.

**Example:** The premises is located at 1234 Main St., Realtown, WI 12345 and includes only the first-floor bar room, dining room, kitchen, north storage room, and south office of the 5,000 square foot building.

Box 20-23 Provide the mailing address for the business, if different from the address in boxes 9-12.

#### Part B: Questions

 Questions 1 and 2: Disclose any civil or criminal violations of law and pending charges in any jurisdiction (federal, state, or local ordinance). Include detailed descriptions of any violations of law involving alcohol beverages. Attach additional sheets as necessary.

- Question 3: Wisconsin law generally prohibits alcohol beverage industry members from having an interest in another tier.
  The law provides some exceptions, with limitations, for restricted investors. If the applicant business, or any of its officers, directors, members, agent, employees, owners, or other related individuals has an interest in an alcohol beverage producer or distributor, list the restricted investors and describe the nature of their interest. A restricted investor with an allowable interest in another tier must complete AB-104, Restricted Investor Affidavit. Attach additional sheets as necessary.
- Question 4: If the applicant is owned by another business entity, provide the legal entity name(s) and FEIN(s) of all upstream entity ownership. Attach an organizational chart if possible. Include all persons involved in upstream entity ownership in the table in Part C and submit Form AB-100 for each of those persons with this application.
- Question 5: Wisconsin law requires all sole proprietors, partners, and agents of corporations and LLCs to successfully complete a Wisconsin approved responsible beverage server (RBS) training course within the past two years unless one of the following applies. Submit the associated document with this application.
  - · The applicant is renewing a license, or
  - Within the past two years:
    - a. The applicant held a manager's or operator's (bartender) license.
    - b. The applicant held or was the agent of a corporation or LLC that held any municipally issued alcohol beverage license in Wisconsin.

**Note:** To learn about your responsibility to complete the responsible beverage server requirement, please review <u>Publication 302</u>, *Information for Wisconsin Alcohol Beverage and Tobacco Retailers*.

- Question 6: A licensee may only buy liquor or beer for cash or on credit terms for a period not to exceed 15 days for beer and 30 days for liquor. A person may not be issued a license if they are indebted to a wholesaler in excess of these limits.
- Question 7: Renewal of licenses may be denied pursuant to a local ordinance if the licensee owes past due municipal taxes, assessments, or other fees.

#### Part C: Individual Information

• Provide basic information for all persons involved in the retail alcohol beverage business who are owners, officers, directors, managers, members, or the agent. Include ownership information as identified in Part B, Question 4.

**Example**: Titles could include Agent, President, Treasurer, Director, Chief Financial Officer, Member, Partner, etc.

- Sole-proprietors, partners in a partnership, and the agent of an LLC or corporation must reside in Wisconsin continuously for 90 days prior to application.
- Include an Alcohol Beverage Individual Questionnaire (Form AB-100) for each person listed in this section with the submission of this application.

#### Part D: Attestation

• Read the attestation carefully, then sign and date.

#### Part E: For Clerk Use Only

- "Date license granted" means the date the municipal governing body approves the license to be issued.
- "Date license issued" means the date the municipal clerk issues the license certificate document.

#### Completion and Submission of AB-200

- Submit the completed application to the clerk of the municipality in which you are applying for a license.
- License applications must be filed with the municipal clerk at least 15 days before they can be approved by the governing body, except licenses issued by municipalities within Milwaukee County. Governing bodies of municipalities within Milwaukee County establish their own period that applications must be filed with the municipal clerk.
- In addition to Form AB-200, include:
  - Form AB-100, Alcohol Beverage Individual Questionnaire, for all individiuals listed in part C
  - Form AB-101 Alcohol Beverage Appointment of Agent, for corporation, nonprofit organizations, and LLC applicants
  - License and publication fees as required by your municipality

- Responsible beverage server training course completion certificate or other acceptable replacement document described in Part B, Question 5
- · Proof the applicant holds a seller's permit, such as a copy of the seller's permit document

**Note:** See <u>Publication 206</u>, *Sales Tax Exemptions for Nonprofit Organizations*, for information on when a nonprofit organization may be exempt from holding a seller's permit.

All other information and documents required by your municipality

**NOTE:** You are required by federal law to register as an Alcohol Dealer with the federal Alcohol and Tobacco Tax and Trade Bureau (TTB) before beginning business. Use <u>Form TTB F 5630.5d</u>, *Alcohol Dealer Registration*, and return the form to the address listed on the instructions.

#### **Open Records**

This application is an open record under Wisconsin law (sec. 19.35, Wis. Stats.) and may be provided to the public. If this license is issued by your municipality, your municipality must report the license to the Wisconsin Department of Revenue. The department publishes a list of alcohol beverage licensees reported by municipalities. The department will not disclose personal information such as residential addresses, home phone numbers, social security numbers, age, birth date, and place of birth of individuals, including partners, officers, directors, members, managers, and agents of corporations or LLCs.

#### **Assistance**

This form is designed by the Department of Revenue for use by municipal governments. If you require assistance with this form, consider reaching out to your municipal clerk for assistance with the following:

- · Submission of this application and associated forms
- · Availability and cost of certain licenses

If you have questions about alcohol beverage laws and regulations, you may contact the Department of Revenue using the contact information below.

Website: DOR Alcohol Beverage (wi.gov)
Write: DORAlcohol@wisconsin.gov

Call: (608) 264-4573

#### Resources Provided by the Department of Revenue

License frequently asked questions

Publication 302 Information for Wisconsin Alcohol Beverage and Tobacco Retailers

Publication 309 Retail Alcohol Beverage Licensing Guide for Municipalities

Fact Sheet 3101 Licenses for Retail Sale of Alcohol Beverages

Fact Sheet 3103 Licensed or Permitted Premises Description

Fact Sheet 3116 Reserve "Class B" Liquor Licenses

Fact Sheet 3118 "Class B" Liquor License Quotas

Form AB-100

### Alcohol Beverage Individual Questionnaire

Date		

All individuals involved in the alcohol beverage business must complete this form, including:

sole proprietor

- all officers, directors, and agent of a corporation or nonprofit organization
- all partners of a partnership
- members and agent of a limited liability company

Your alcohol beverage application or renewal is not complete until all required Individual Questionnaires are submitted.

Part A:	<b>Business Informa</b>	tion							
1. Legal E	Business Name (individu	al name if sol	e proprietor)						
2. Busine	ss Trade Name or DBA								
3. Entity	Type (check one)								
☐ So	le Proprietor	Partnership	Limited I	Liabilit	y Compan	y Corporation	n 🗀	Nonprofit O	ganization
Part B:	Individual Inform	ation							
1. Last Na	ame			2. Fii	rst Name				3. M.I.
4. Relatio	nship to Business (Title)		5. Email					6. Phone	
7. Home	Address								
8. City					9. State	10. Zip Code		11. Date of Bi	rth
12. Drive	rs License/State ID Num	per				13. Drivers License/St	ate ID Sta	te of Issuance	
Part C:	Address History								
1. Do yo	ou currently reside in \	Visconsin?							∕es No
-	-							Years	Months
If yes	to 1 above, how long	have you co	ontinuously lived in	) Wisc	onsin prior	to the date of applica	tion?	. Iteals	IVIOTILIS
2 Lietin	chronological order	ll of your ac	Idrossos within tho	Jact 5	voore Att	ach additional sheets	if nococc	on/	
	Address 1	iii oi youi ad	idlesses willill the	City	years. All	acii addilionai sneets	State	Zip Code	
1 TOVIOGO	7.001000 1			Oity			Otato	Zip Godo	
Previous	Address 2			City			State	Zip Code	
Previous	Address 3			City			State	Zip Code	
Previous	Address 4			City			State	Zip Code	
Previous	Address 5			City			State	Zip Code	
3. List a	3. List all states and counties you have lived in as an adult. Attach additional sheets if necessary.								
State	County	State	County		State	County	State	County	
State	County	State	County		State	County	State	County	

Continued  $\rightarrow$ 

Part D: Criminal History							
Have you ever been convicted of any offenses (excluding traffic offenses unless related to alcohol beverages)     for violation of any federal, Wisconsin, or another state's laws or of any county or municipal ordinances?							
If yes to question 1, please list details of each conviction below. Attach additional sheets as needed.							
Law/Ordinance Violated	Location		Conviction Date				
Penalty Imposed		Was sentence co	mpleted? Yes No				
Law/Ordinance Violated	Location		Conviction Date				
Penalty Imposed		Was sentence co	mpleted? Yes No				
Law/Ordinance Violated	Location		Conviction Date				
Penalty Imposed		Was sentence co	mpleted? Yes No				
2. Are charges for any offenses currently pending against you (excluding traffic offenses unless related to alcohol beverages) for violation of any federal, Wisconsin, or another state's laws or any county or municipal ordinances?							
Part E: Attestation							
<b>READ CAREFULLY BEFORE SIGNING:</b> Under penalty of law, I have answered each of the above questions completely and truthfully. I certify that I am not prohibited from participating in this business due to any involvement in another tier of the alcohol beverage industry as a restricted investor. I understand that any license issued contrary to Wis. Stat. Chapter 125 shall be void under penalty of state law. I further understand that I may be prosecuted for submitting false statements and affidavits in connection with this application, and that any person who knowingly provides materially false information on this application may be required to forfeit not more than \$1,000 if convicted.							
Signature		Date					

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#### Form AB-100 Instructions

#### Alcohol Beverage Individual Questionnaire

#### Who must complete Form AB-100?

All persons involved in the applicant business who are sole proprietors, partners of a partnership, officers, directors, members, managers, or agents must complete and submit Form AB-100. These persons must be identified in Form AB-101, *Alcohol Beverage Appointment of Agent*, Form AB-200, *Alcohol Beverage License Application*.

#### Where do I submit Form AB-100?

If applying for a retail alcohol beverage license, submit this form with Form AB-200, *Alcohol Beverage License Application* to the clerk of the municipality in which the applicant business is located.

If applying for an alcohol beverage permit, submit this form as required by the permit application to the Division of Alcohol Beverages.

To update the agent for an alcohol beverage license or permit, submit this form with Form AB-101, *Alcohol Beverage Appointment of Agent* to the issuer of the authorization.

#### **Specific Instructions**

#### Date

Date the form in the top right corner.

#### Part A: Business Information

- Box 1: Enter the legal business name. If sole proprietor, enter the individual's first and last name.
- Box 2: Enter the business trade name or "doing business as" name, if different than the name in box 1.
- Box 3: Check one entity type to indicate how the business is legally organized.

**Note:** This business information must match the information on any license application (Form AB-200) or existing license certificate.

#### Part B: Individual Information

- · Provide all requested personal information.
- Box 4: Enter your title or describe your relationship to the business. Examples: President, Treasurer, Director, Chief Financial Officer, Member, Partner, Agent, etc.

#### Part C: Address History

 Question 2: List in chronological order all residential addresses within the last five years starting with your most recent address.

#### Part D: Criminal History

- Question 1: Disclose any civil or criminal violations of law in any jurisdiction (federal, state, or local ordinance), and include detailed descriptions of any violations of law involving alcohol beverages (OWI, disorderly conduct, etc.).
- Question 2: Disclose any pending charges against you in any jurisdiction and include detailed descriptions of any charges involving alcohol beverages.

**Note:** Subject to the Wisconsin Fair Employment Law (Ch. 111, Wis. Stats.), persons with convictions or pending charges may, if those offenses are sufficiently relevant, be prohibited from holding alcohol beverage license and permits under sec. 125.04(5)(a)(1) Wis. Stats. See the Department of Revenue's Permit Predetermination Common Questions for offenses that may prevent someone from holding a license.

#### Part E: Attestation

· Read the attestation carefully, then sign and date.

#### **Assistance**

This form is designed by the Department of Revenue for use by municipal governments. Reach out to your municipal clerk for assistance with the following:

- · Submission of the retail license application and supplemental forms
- · Availability and cost of certain licenses.

If you have questions about alcohol beverage laws and regulations, you may contact the Department of Revenue using the contact information below.

Website: <u>DOR Alcohol Beverage (wi.gov)</u>
Write: <u>DORAlcohol@wisconsin.gov</u>

Call: (608) 264-4573

#### Resources Provided by the Department of Revenue

License frequently asked questions

Publication 302 DOR Alcohol Beverage Laws for Retailers Licenses

Publication 309 Retail Alcohol Beverage Licensing Guide for Municipalities

Fact Sheet 3101 Licenses for Retail Sale of Alcohol Beverages

Fact Sheet 3103 Licensed or Permitted Premises Description

Fact Sheet 3116 Reserve "Class B" Liquor Licenses

Fact Sheet 3118 "Class B" Liquor License Quotas

Form AB-101

## Alcohol Beverage Appointment of Agent

Date	
------	--

Agent Type (check one)						
☐ Original (no fee) ☐ Successor (\$10 fee for m	unicipal licen	sees only)				
Pout As Procinces Information						
Part A: Business Information						
Legal Business Name (individual name if sole proprietor)						
2. Business Trade Name or DBA						
3. Entity Type (check one)  Limited Liability Company	у 🗆	Corporation	☐ Noi	nprofit Organiza	ation	
Alcohol Beverage Business Authorization (check one)     Municipal Retail License     State Permit	5. If successo	r agent, provide Sta	ate Permit or M	unicipal Retail Lid	cense Number	
6. Describe the reason for appointing a successor agent, if successor	r is checked ab	ove.				
Part B: Agent Information						
1. Last Name	2. First Name				3. M.I.	
4. Email				5. Phone		
6. Home Address						
7. City	8. State	9. Zip Code		10. Age		
7. Oily	o. State	3. Zip 00dc		To. Age		
11. Drivers License/State ID Number		12. Drivers Lic	ense/State ID S	 State of Issuance		
Part C: Agent Questions						
Have you satisfied the responsible beverage server training requirement?						
Have you completed Form AB-100, <i>Alcohol Beverage Ind</i> .     Submit a completed Form AB-100 with this form.	ividual Ques	ionnaire?			Yes No	
Have you been a Wisconsin resident for at least 90 continuous See instructions for exceptions.	nuous days?.				Yes No	

Continued  $\rightarrow$ 

READ CAREFULLY BEFORE SIGNING: I, the corporation, nonprofit organization, or limited beverage activities on such premises. I certified on behalf of the entity. If I am appointing a sure I understand that I may be prosecuted for sule any person who knowingly provides materially if convicted.	liability com y that I am a ccessor ager omitting false	pany with full authority and cor uthorized by the above-named ont, I rescind all previous agent a e statements and affidavits in co	itrol of the prentity to authorphic interests in the properties in	emises and o orize this indi for this premis this applicati	of all alcohol vidual to act ses. Further, on, and that
Last Name		First Name			M.I.
Title	Email			Phone	
Signature			Date		
Part E: Agent Attestation					
READ CAREFULLY BEFORE SIGNING: I, the nonprofit organization, or limited liability compon the premises for the above-named busine and affidavits in connection with this application policies application may be required to forfeit not more	pany and ass ess. I further on, and that a	sume full responsibility for the co understand that I may be proso any person who knowingly provi	nduct of all a ecuted for su	lcohol beverable	ge activities statements
Last Name		First Name			M.I.
Signature			Date		

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Part D: Business Attestation

#### Form AB-101 Instructions

#### Alcohol Beverage Appointment of Agent

#### Who must complete Form AB-101?

State law requires corporations, limited liability companies (LLCs), and nonprofit organizations to appoint an agent that takes responsibility for the licensed or permitted premises.

Use this form to appoint an agent for a new premises or to appoint a successor agent when there is a change before the license or permit is up for renewal.

#### Where do I submit Form AB-101?

Submit Form AB-101 to the appropriate issuing authority, either the clerk of the municipality in which the business or organization is located, or the Division of Alcohol Beverages.

Form AB-101 may be submitted with a license or permit application or at any time to indicate there is a change in agent prior to the license or permit renewal period.

#### Specific Instructions

#### Date:

Date the form in the top right corner.

#### Agent Type:

- Select original appointment if you are applying for your license or permit for the first time or are renewing a license or permit.
- Select successor agent if you are reporting a change of agent during the licensing or permitting period.

#### Part A: Business Information

- Box 1: Enter the legal business name. If a sole-proprietorship, enter the individual's first and last name.
- Box 2: Enter the trade name or "doing business as", if different than the name in box 1.
- Box 3: Check one entity type to indicate how the business is legally organized.

Note: This business information must match the information on the license or permit application.

- Box 4: Select which alcohol beverage authorization you hold or are applying for.
- Box 5: For appointment of a successor agent, enter your state permit number (15-digit Wisconsin Tax ID number) or municipal retail license number (if applicable) for which you are appointing a successor agent. If you do not have a municipal retail license number, provide any applicable identifier (e.g., store number or location).
- Box 6: For appointment of a successor agent, describe the reason for the change in agent.

#### Part B: Agent Information

· Provide all requested personal information.

#### Part C: Agent Questions

- Question 1: Wisconsin law requires all agents of corporations and LLCs to successfully complete a Wisconsin approved responsible beverage server (RBS) training course within the past two years unless:
  - · The applicant is renewing a municipal alcohol beverage retail license, or
  - Within the past two years:
    - a. The applicant held a manager's or operator's (bartender) license.
    - b. The applicant held or was the agent of a corporation or LLC that held any municipally issued retail alcohol beverage license in Wisconsin.

- Some agents for state permittees are exempt from responsible beverage server course requirements. The following permittees are exempt from RBS course requirements: Alcohol Beverage Warehouse, Industrial Fermented Malt Beverages, Wholesalers, Manufacturers, Rectifiers, Direct Wine Shippers, Wholesale Alcohol, Medicinal Alcohol, Industrial Alcohol, and Industrial Wine.
  - If you are applying to be the agent of one of these exempt permittees, answer "yes" to Question 1.
- To learn about your responsibility to complete the responsible beverage server requirement, review <u>Publication 302</u>, Information for Wisconsin Alcohol Beverage and Tobacco Retailers.
- Question 2: Appointed agents must submit Form AB-100, *Alcohol Beverage Individual Questionnaire*, in addition to this form.
- Question 3: Appointed agents must be Wisconsin residents for at least 90 continuous days prior to the date of application, except for direct wine shipper permittees.

#### Part D: Business Attestation

 An authorized representative should sign, date, and provide requested personal information on behalf of the business.

#### Part E: Agent Attestation

• The agent being appointed should read the attestation carefully, then sign and date.

#### **Assistance**

If you have questions about alcohol beverage laws and regulations, you may contact the Department of Revenue using the contact information below.

**Website:** DOR Alcohol Beverage (wi.gov) **Write:** DORAlcohol@wisconsin.gov

Call: (608) 264-4573

Form CTV-100

## Cigarette, Tobacco, and Electronic Vaping Device Retail License Application

FOR CLERKS ONLY
Municipality
License Period

Part A: Premises/Business Informat	ion		
Legal Business Name (individual name if sole			
1. Legal Busiless Name (mundual name il sole	proprietory		
2. Business Trade Name or DBA			
3. FEIN	4. Wisconsin Sel	ler's Permit I	Number
5. Entity Type (check one)			
		ted Liability	Company Corporation
6. State of Organization	7. Date of Organization		Wisconsin DFI Registration Number
9. Premises Address (do not use PO Box)			
10. City		11. State	12. Zip Code
13. County 14. Governing of:	g Municipality:	Village	15. Aldermanic District
16. Mailing Address (if different from premises ad	dress)		
17. City		18. State	19. Zip Code
20. Premises Phone	21. Premises Email		22. Website
Describe all rooms including living quarters, i	f used, for the sales and/or storage of c	igarettes, tol	electronic vaping devices are to be sold and stored. bacco products, and electronic vaping devices and NLY on the premises described in this application.
Part B: Questions			
What products will be sold at this busines     Cigarettes	s location? (check all that apply)  Tobacco Products		☐ Electronic Vaping Devices
How will cigarettes, tobacco, and/or elect     Over the counter	ronic vaping devices be sold? (che	ck all that a	
3. Is the applicant business owned by anoth	er business entity?		Yes No
If yes, provide the name and FEIN of the CTV-101 for all of the parent company's r	parent company below, identify par		
3a. Name of Parent Company:			
3h FEIN of Parent Company:			

Part C: Individual Information	n					
An Individual Questionnaire, Form CTV any parent company indicated in Part B all members and agents of a limited lial	. Such persons inclu					
List the full name, title, and phone	number for each p	erson below. A	ttach additio	onal sheets if necess	sary.	
Last Name	First Name		Title		Phone	
Part D: Attestation						
One of the following must sign and  • sole proprietor • one gene	attest to this appli ral partner of a pai		• one corno	orate officer •	one mar	naging member of an LLC
READ CAREFULLY BEFORE SIGNI		rtificianip	one corpe	State officer	one mai	laging member of all LLO
I understand and agree to the fol						
I will only purchase cigarettes,	_	or products from	m distributor	rs inhhers or suhin	hhers ne	ermitted by the Wisconsin
Department of Revenue, unles	s I also hold the p	roper distribut	or's permit a	and pay all applicab	le excise	e taxes.
I will not purchase or exchange	•		•	•		
<ul> <li>I will provide tobacco sales trai (<u>https://witobaccocheck.org</u>).</li> </ul>	ining that has beer	n approved by	the Wiscon	sin Department of F	lealth Se	ervices to my employees.
I will not sell single cigarettes.						
I will not sell, give, or otherwise	e provide cigarette	es, tobacco, or	any nicotine	e products to minor	S.	
I will keep product invoices on enforcement. Failure to comply						able for inspection by law
I will not sell cigarettes or roll-your of certified tobacco manufacture		pacco products	s unless liste	ed on the Wisconsin	Departn	nent of Justice's directory
Further, under penalty provided by law, I state that this application has been truthfully answered to the best of my knowledge. I agree to operate this business according to law and that the rights and responsibilities conferred by the license(s), if granted, cannot be assigned to another. Any lack of access to any portion of a licensed premises during inspection will be deemed a refusal to permit inspection. Such refusal is a misdemeanor and grounds for revocation of this license. Any person who knowingly provides materially false information on this application may be required to forfeit not more than \$1,000.						
Signature				Date		
Name (Last, First, M.I.)						
Title		Email				Phone
Part E: For Clerk Use Only	Data Bassas !		Data !!:		11:-	a mumah an
Date application was filed with clerk   [	Date license issued		Date license	expires	Licens	e number

CTV-100 (N. 2-24) - 2 -

License fees

Signature of Clerk/Deputy Clerk

#### Form CTV-100 Instructions

Cigarette, Tobacco, and Electronic Vaping Device Retail License Application

#### Who needs a cigarette, tobacco, and electronic vaping device retail license?

Any individual or entity that wants to sell cigarettes, tobacco products, or electronic vaping devices to consumers over the counter or through a vending machine must obtain a retail cigarette, tobacco, and electronic vaping device license.

#### Who issues cigarette, tobacco, and electronic vaping device retail licenses?

Municipal clerks of cities, villages, and towns issue cigarette, tobacco, and electronic vaping device retail licenses.

#### **Specific Instructions**

#### Part A: Business Information

- · Box 1: Enter the legal business name.
- Box 2: Enter the business trade name or "doing business as" name, if different than the name in box 1.
- Box 4: For questions about obtaining a seller's permit, see <u>Seller's Permit Common Questions</u>.
- Box 5: Check one entity type to indicate how the business is legally organized.
- Box 14: Check a municipality type and write the name of the governing municipality where the business is located. This may be different from the city listed in the premises address.
- Box 20 23: All requests for "premises" information are requests for the physical location within the municipality and contact information to reach the business during open hours.
- Box 23: Describe the premises in detail. Attach a floor plan if possible.
  - Example: The premises is located at 1234 Main St., Realtown, WI 12345 and includes only the first-floor sales floor, humidor, north storage room, and south office of the 5,000 square foot building.

#### Part B: Questions

- 1. Check the box(es) corresponding to each type of product you intend to sell. You may check multiple boxes.
- Check the box(es) corresponding to the type of retail sale intended. This license does not authorize any online sales.
   Cigarette vending machine retailers must also obtain a Cigarette Vending Machine Operator by completing Form CT-129.
- 3. If you answer yes to this question, provide the Legal Business Name and FEIN of the parent company in boxes 3a and 3b.

#### Part C: Individual Information

- Provide basic information for all persons involved in the cigarette, tobacco product, or electronic vaping device business who are sole-proprietors, partners, officers, members, or agents.
- Example titles: President, Treasurer, Chief Financial Officer, Member, Partner, etc.
- Include an Individual Questionnaire (Form CTV-101) for each person listed in this section with the submission of this application.
- If the applicant is owned by another corporation or LLC as indicated in Part B, Question 3, include information about the parent company's members or officers in the table, including the completion of Form CTV-101.

#### Part D: Attestations

· Read the attestation carefully, then sign and date.

#### Part E: For Clerks Use Only

• "Date license issued" means the date the municipal clerk issued the license certificate document.

#### **Completion and Submission of Form CTV-100**

- Submit the completed application to the clerk of the municipality in which you are applying for a license.
- In addition to Form CTV-100, include:
  - Form CTV-101 for the sole-proprietor; all officers, directors, and agent of a corporation; all partners of a partnership; all managing members and agent of a limited liability company
  - Form CTV-102 if the applicant is an LLC or corporation
  - Proof the applicant holds a seller's permit, such as a copy of the seller's permit document. Search for active sales tax accounts at revenue.wi.gov under My Tax Account, click on "Search Account Number" under the Businesses section. If you have questions about whether a person holds a seller's permit, contact the Department of Revenue at 608-266-2776
  - · All other information and documents required by your municipality

#### **Open Records**

This application is an open record under state law (sec. 19.35, Wis. Stats.) and may be provided to the public. If this license is issued by your municipality, your municipality must report the license to the Wisconsin Department of Revenue. The department may publish a list of cigarette, tobacco product, and electronic vaping device licensees reported by municipalities. The department will not disclose personal information such as residential addresses, home phone numbers, social security numbers, age, birth date, and place of birth of individuals, including partners, officers, directors, members, managers, and agents of corporations or LLCs.

#### **Assistance**

This form is designed by the Department of Revenue for use by municipal governments. Reach out to your municipal clerk for assistance with the following:

- Submission of the retail license application and supplemental forms
- · Availability of certain licenses

If you have questions about cigarette, tobacco product, and electronic vaping device laws and regulations, you may contact the Department of Revenue using the contact information below.

Website: www.revenue.wi.gov

Write: DORAlcoholTobaccoEnforcement@wisconsin.gov

Call: (608) 264-4573

#### Resources Provided by the Department of Revenue

Wisconsin Department of Revenue Cigarette, Tobacco, and Vapor Product Landing Page Permit Predetermination Common Questions

Vapor Products Tax Common Questions

Fact Sheet 3501 Vapor Products Tax

#### **Other Resources**

<u>Tobacco Sales Training</u> – Wisconsin Department of Health Services Tobacco 21 – Wisconsin Department of Health Services



### **Application for Alcohol Beverage** Operator's (Bartender's) License

#### \*\*\*IMPORTANT INFORMATION\*\*\*

- Applicants must provide a certificate of completion from a Responsible Beverage Server Course taken within the last 2 years or an operator's license within the last two years from another Wisconsin municipality. Approved course information can be found online at https://www.revenue.wi.gov/Pages/Training/alcSellerServer.aspx
- An Operator's license is a privilege, not a right. False or misleading answers or omissions may result in the denial of your application.
- This application must be **completed legibly**, accurately and completely.
- If you have any doubt as to whether to include facts of a specific incident, it is recommended that you disclose the information.
- If you are unsure about how to respond to any questions on this form, check with the Clerk's office for clarification.
- ny unnaid debte

Your application will not be p	processed until you	address any unpaid deb	ots owed to the City of Belo	oit and/or outstandi	ng warrants.			
SECTION 1. LICENSE INFORM	MATION							
Choose One New Renewal		If you are applying for a New License or a Special Event/Temporary License, have you completed the Responsible Beverage Server Training Course within the last two years?						
☐ Special Event/Temporary	/ ☐ Yes	☐ No If 'yes', pro	ovide a copy of the certifica	ate of completion o	f the course v	with thi	s applic	ation
Have you held an operator's li	cense in the past t	wo years?	☐ Yes ☐ No If 'Yes	s', where:				
Have you ever had an Operato		cohol license	☐ Yes ☐ No					
suspended, revoked, or denied			If 'Yes	s', where:				
If 'yes', please provide an expl	anation about the	suspension, revocation	on or denial. Attach addition	al sheets of paper, if	necessary			
Have you read the reverse side	e of this applicatio	n which outlines reas	ons why an application n	nay be denied?	☐ Yes	☐ No		
SECTION 2. APPLICANT INFO	RMATION (Applica	ant must be 18 years of	age or older to apply)					
Applicant Name (First Name, Mid	dle Name, Last Name)	)			ver used an	y othe	r name	(s) or
				alias(es)?	☐ Ye	s	□ No	
Prior Name(s) or Alias(es) (Firs	t Name, Middle Name,	, Last Name)		Phone Nun	nber		<del></del>	
Social Security Number	Date of Birth	Place of Birth (Coun	nty/State)	Race	Sex	Eom	ale 🗌	Male
Driver's License Number/State	ID number	State of Issuance	Email Address			геш	ale 🗀	IVIAIE
Driver's License Number/State	ib number	State of issuance	Liliali Addiess					
Current Address (also provide ma	ailing address if differe	nt from current address)	City		State	Zip	Code	
Previous Address			City		State	Zip	Code	
Name and Address of Employe	er where license w	vill be used (if not curre	ntly employed, mark "N/A"	)	l			
SECTION 3. ARREST AND CO	NVICTION RECOR	RD INFORMATION						
This application asks questions re								
MUST BE ANSWERED TRUTHF information on this application, or in						ou do n	ot give	accurate
	, ,		GE(S) INFORMATION					
Are there any charges (criminal	or ordinance violatio	ons) currently pending a	gainst you?			Yes		No
If you answered yes, please com	plete all of the infor	rmation below: (attach ad	Iditional sheets of paper if need	ded)				
Date of Violation Loc	ation (City/County/St	tate) Description of Vi	<u>iolation</u>	Next C	ourt Date		Status	<u>s</u>
1								
At the time of any incident you list foo, which incident?	st, were you under t	he influence of alcohol	and/or other drugs at the ti	me of the offense?		Yes		No
For any incident you list, did the	incident occur in or	around an establishme	nt that serves alcohol?		П	Yes		No
If so, which incident?		EEL ONV CR	IMINAL RECORD			165		INO
Since your 17 <sup>th</sup> birthday, have yo	ou ever been arreste			No				
As a juvenile, have you ever bee					for an adult?	П	Yes [	□ No
If you answered yes, please com								
Date of Conviction L	ocation (City/County	//State) Description of	Felony Offense					
1								
At the time of any incident you list If so, which incident?	st, were you under t	he influence of alcohol	and/or other drugs at the ti	me of the offense?		Yes		No
For any incident you list, did the If so, which incident?	incident occur in or	around an establishme	nt that serves alcohol?			Yes		No

		OTHER CRIMINA	AL RECORD OR ORDIN	IANCE VIOLATION HISTORY				
		ever been arrested or convicte ted States, State, County, City		eanor or for violating an ordinance (c not include parking violations)	itation or	Yes		No
If you	ı answered yes, please	complete all of the information	below: (attach additional s	sheets of paper if needed)				
	Date of Conviction	Location (City/County/State)	Description of Crimin	al Offense (Non-Felony) or Ordina	nce Violation	Penal	lty Im	oosed
1								
2								
3								
4								
5								
	e time of any incident yo which incident?	ou list, were you under the influ	ence of alcohol and/or c	ther drugs at the time of the offense	? 🗆 \	⁄es	□ N	No
	ny incident you list, did the which incident?	the incident occur in or around	an establishment that s	erves alcohol?		⁄es	<u> </u>	No
		FOR REVIEW OF AN OPER						
in the	e last 3 years or 2 (or moved. If an applicant has  Giving false or inco  An arrest or conviction  An arrest or conviction	nore) offenses in the last 5 yeas a felony conviction, the applic complete information, misinformation,	ars, your application ma ation will be denied unti ation or failure to disclos away alcohol to an undo person on premise	riod, unless a pattern of conduct exists by be denied. In particular, conviction of the applicant has been duly pardon see information on the Application berage person (2 or more violations within	ons of the followned.	ving offe		
	<ul> <li>(PAC/BAC), and/or</li> <li>Conviction of allow</li> <li>Conviction of sellin</li> <li>Conviction of sellin</li> <li>Conviction of sellin</li> <li>Conviction of any p</li> <li>An arrest or conviction</li> </ul>	r (3) with a restricted controlled ring another person to use your ag alcohol to an intoxicated per- ag after closing hours ag without an alcohol license part of Chapter 125, Wis. Stats ation of a charge related to activ	I substance in your system operator's (bartender's son  relating to alcohol bevein the performed while be	) license erages	a prohibited alc	ohol cor	ncentr	ation
applio applio appe	cation. To the extent sta cation is recommended al the decision by subm	ate statutes or ordinances pro for denial, the applicant will b itting a written request to the C	vide additional grounds be provided a letter state City Clerk that states, in	e to state every circumstance that m for denial or non-renewal, the City ting the reasons for the recommend detail, the grounds the applicant bel be submitted to the ABLCC and the	may rely on su dation. An applic ieves should res	ch provi cant has sult in th	isions s the i e reve	. If an right to ersal of
SEC <sup>-</sup>	TION 5. CERTIFICATION	ON *** F	PLEASE READ CAREF	ULLY BEFORE SIGNING****				
beliet of the applic office beca I und	I certify that I am fame a law. I understand that cation. Further, by my sers, employees, and agouse of compliance with the lerstand that if approve	illiar with the laws, ordinances, t giving false or inaccurate info signature, I am hereby authoria ents from any and all liability this authorization to request to	and regulations pertain ormation or withholding zing the City to conduct for damages of any kir release information or a my employer if my en	If in this application is true and corre- ning to the sale of alcoholic beverage requested information on this applical a background check and I am released, which may at any time result to any attempt to comply with it.	es and I agree to cation will result sing the City and me, my heirs,	o obey a in the o d its elect family o	all producted or asso	visions of this fficials, ociates
Signa	ature of Applicant			Date				
			OFFICE USE C	ONLY				
□ I	New/Renewal License	(2 year license) \$50.00; expires		RBSC completed on	_	) on		
□ !	New License (partial term	n if filed after 7/1 of 2 <sup>nd</sup> year) <b>\$35.0</b>	<b>00</b> ; expires	☐ Photo ID checked	Rec'd fron			
		\$15.00; expires		☐ Treas. check sheet completed	Lic/Ltr sen			
□ {	Special Event/Tempora	ary License \$7.00; Date(s) of	EVENT:ECOMMENDATIONS / I	EINAL ACTION				
	ce Dept Action Date: ense is denied, state re	_	oved  Denied Chief	of Police/Designee Signature:				
City	Council Action Date:	□ Approx	rad Danied Notifi	cation to Applicant cont:				



## SUPPLEMENTAL QUESTIONNAIRE FOR AGENTS

You are required to provide the following information to the City of Beloit to assist in determining whether you meet the qualifications necessary to act as agent for the corporation or limited liability company that has submitted your appointment as agent. (Wis. Stats. 125.04(5) and (6)).

Please attach as many sheets as necessary to provide your answers to the questions below. Your signature is required on the next page and constitutes your sworn statement that the information provided by you is truthful and accurate. It is also necessary that you have a corporate officer sign the second page also. The signing by the corporate officer constitutes a representation to the city that the corporation is requesting that the city rely on the information provided by the agent, which you attach.

#### **QUESTIONS**

- 1. The law requires that the entity appointing you as agent vest in you, by properly authorized and executed written delegation, full authority and control of the premises described in the license or permit of the entity, and of the conduct of all business on the premises relative to alcohol beverages, that the license or permittee could have and exercise if it were a natural person. Please state in your own words how you intend to fulfill those duties and exercise your authority.
- 2. Please describe any previous experience you have had in retail alcohol sales.
- 3. Please state how many other people will be under your supervision and engaged in alcohol beverage business.
- 4. Please describe what type of training you will offer to those under your supervision, describe whether the training will be ongoing, and attach any written training materials or policy manuals you intend to rely on.
- 5. Please describe in detail what training, policy, and procedures you intend to implement to ensure against underage sales.
- 6. Please describe what other employees will hold licenses to directly dispense alcohol.
- 7. Please describe whether you are going to be a full time employee and further state whether you either act as an alcohol agent for any other business or hold any other employment.
- 8. Please state your intended hours or schedule of being physically present at the licensed premises. Your intended hours should be set on a daily, weekly, or monthly basis as appropriate.

- 9. Please state whether you understand that you can be personally given citations by the police department for such things as underage sales, open after hours, or other alcohol related violations even when you are not on the premises.
- 10. Please describe any alcohol related violations you have been charged with in the last five (5) years. Provide the date of the offense, the nature of the charge, and the disposition of the matter. If there are none, so state.
- 11. Please provide any other information you believe that the City of Beloit should be aware of in deciding whether you satisfactorily qualify to be an alcohol beverage agent.

Agent Signature
Print Agent Name
Corporate Officer Signature (Designate Office)
Print Corporate Officer Name



#### The City of Beloit Code of General Ordinance requires the following:

## "31.10 (3) STORAGE OF ALCOHOL BEVERAGES AFTER LICENSE REVOCATION, SUSPENSION OR DENIAL. (Cr. #2407)

- (a) Removal of Alcohol Beverages from Licensed Premises. No person may keep or store alcohol beverages in public view upon any premises for which a Class "A" or "Class A" retail alcohol license has been suspended, revoked or denied if the premises, following suspension, revocation or denial, are used for the purpose of selling goods other than alcohol beverages, except as hereinafter provided.
- (b) <u>Exception</u>. Paragraph (a) shall not apply to premises for which a license is suspended, unless the order of suspension so provides. In addition, paragraph (a) shall not apply to premises in which the sale of alcohol beverages accounts for 50% or more of gross receipts.
- (c) Affidavit Regarding Gross Receipts. Every applicant for a Class "A" or "Class A" retail alcohol beverage license shall file an affidavit with the City Clerk setting forth the following:
  - 1. FOR THE PRIOR CALENDAR YEAR. The actual percentage of gross receipts attributable to alcohol beverage sales on the licensed premises.
  - 2. FOR THE CURRENT CALENDAR YEAR. The projected percentage of gross receipts attributable to alcohol beverage sales on the licensed premises.
- (d) <u>Penalty</u>. The penalty for violation of paragraph (a) shall be a forfeiture of not less than \$25 nor more than \$500.

## 31.105 - AFFIDAVIT REGARDING GROSS RECEIPTS FOR "CLASS C" PREMISES . (Cr. #2870) Every applicant for a "Class C" retail alcohol beverage license shall file an affidavit with the City Clerk setting forth the following:

- (1) FOR THE PRIOR CALENDAR YEAR. The actual percentage of gross receipts attributable to alcohol beverage sales on the licensed premises.
- (2) FOR THE CURRENT CALENDAR YEAR. The projected percentage of gross receipts attributable to alcohol beverage sales on the licensed premises. "

All Class "A" Beer and "Class A" Liquor Applications must create an operating manual regarding the sale of Alcohol and Deliver a copy of the manual to the City Clerk before the license can be issued.

Operating Manuals should be reviewed with employees and made certain they understand the rules and regulations regarding the sale of alcohol.

# AFFIDAVIT OF GROSS RECEIPTS FOR CLASS "A," "CLASS A," AND "CLASS C" RETAILERS



City of Beloit; Rock County, Wisconsin	
, be	eing first duly sworn on oath, states
(Name of person authorized to complete this form)	
	lied for (or is renewing) a Class A or Class C Retail Alcohol License.
(Legal Name of Individual/Partnership/Corp/LLC)	
This retail alcohol beverage license is for the premises I City of Beloit, Rock County, Wisconsin.	located at, in the
The applicant is doing business at this location as (d/b/	a)
The applicant is operating as (check only one):  An individual  A partnership  A corporation	The undersigned is (check only one):  The applicant A partner An officer of the corporation, (Title)
The undersigned is authorized to execute this affidavit	on behalf of the applicant: $\square$ Yes $\square$ No
During the past license year, gross receipts from alcohol  □ Exceeded 50% of the gross receipts from all sales co  □ Were less than 50% of the gross receipts from all sa  Upon information and belief, the actual percentage w  During the current license year, applicant expects that gross receipts from all sales  □ Will exceed 50% of the gross receipts from all sales  □ Will be less than 50% of the gross receipts from all sales	pinducted on the licensed premises.  les conducted on the licensed premises.  ras
The undersigned expects that alcohol beverage sales vear.	will constitute% of all sales for the current license
I hereby state that the information above is true, to the I also confirm that the information here is both comple	e best of my knowledge. Ite and accurate, and relevant information has not been omitted.
Signature	State of Wisconsin, County of Rock
Title (i.e., applicant, partner, corporate officer)	(Notary Public)
	My commission expires:
Dated this, 20	,